

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicant(s): Jack H. Yuan
Assignee: SanDisk Corporation
Title: Scalable Self-Aligned Dual Floating Gate Memory Cell Array and Methods of Forming the Array
Serial No.: 09/925,102 Filing Date: August 8, 2001
Docket No.: 11587 M-11822 US Group Art Unit: 2185

San Francisco, California
November 2, 2001

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-
PROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on September 28, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A Declaration signed by the inventors in compliance with 37 CFR 1.63.
2. Assignment and Recordation Sheet;
3. Power of Attorney by Assignee for Entire Interest; and
4. Copy of Notice to File Missing Parts of Non-Provisional Application - Filing

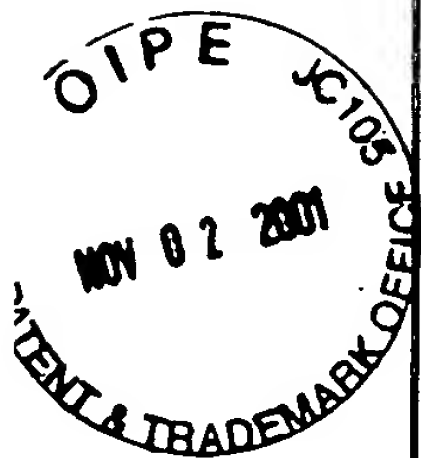
Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

- | | | |
|----|---|-----------|
| 1. | Surcharge for filing declaration on a date later than the filing date of the application. | \$130.00 |
| 2. | TOTAL FEES: | \$ 130.00 |

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

817036 vl



It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of August 8, 2001. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

**EXPRESS MAIL
LABEL NO:**

EL751263053US

Respectfully submitted,

Gerald P. Parsons

Gerald P. Parsons
Reg. No. 24,486

Nov. 2, 2001
Date

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,102	08/08/2001	Jack H. Yuan	11587 M-11822 US

CONFIRMATION NO. 3186

FORMALITIES LETTER



OC000000006810792

Skjerve Morrill MacPherson LLP
Suite 700
25 Metro Drive
San Francisco, CA 95110

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]